



Compliance Notifiable Event Form for Cannabis Businesses

Required by 915 KAR 1:020, Section 5(2): Use this form or the online equivalent located at kymedcan.ky.gov when a compliance related notifiable event has occurred at your licensed cannabis business and is required to be reported to the Cabinet for Health and Family Services. **Submit this information through the online portal or email this filled out form to kymedcanreporting@ky.gov.**

A report must be filled out by each employee, agent, or volunteer involved in the event. **Please note that separate and distinct forms are required for Requests to Change Address, Ownership, or Sell a License.** Those forms can be found under the “Forms” tab on the website for the Kentucky Office of Medical Cannabis, kymedcan.ky.gov.

Date:	Licensee Name and License #:	Licensee Location:
Name of Employee Reporting:	Badge #:	Email and Phone Number:
Date of Event:	Time of Event:	Location of Event:
Name of Involved Employees:	Badge #(s):	Contact Information:
Name of Registered Qualified Patient(s), Designated Caregiver(s), Visiting Qualified Patients(s) involved:	Patients(s)/Caregiver(s) Card #:	Contact Information:

Name and contact information of other witnesses involved (if applicable):

Signature:

COMPLIANCE NOTIFIABLE EVENT

Please check all notifiable events that apply and provide a description in the box provided below.

- | | |
|--|---|
| <input type="checkbox"/> #1. Mechanical malfunction of the security alarm or surveillance system that will exceed 8 hours | <input type="checkbox"/> #14. Cannabis Business Closure |
| <input type="checkbox"/> #2. Change to facilities | <input type="checkbox"/> #15. Request to open a window in the state's designated seed to sale tracking system |
| <input type="checkbox"/> #3. Failure to meet operational timeline | <input type="checkbox"/> #16. Any enforcement or compliance action taken by local, state, or federal agencies other than the Office of Medical Cannabis |
| <input type="checkbox"/> #4 Any initiated investigation or proceeding by any governmental or administrative agency other than the Office of Medical Cannabis | <input type="checkbox"/> #17. Criminal Proceedings arising out of actions taken on premises or using licensee property |
| <input type="checkbox"/> #5. Security Alarm Activation | <input type="checkbox"/> #18. Any Event That Requires LEO Response |
| <input type="checkbox"/> #6. Product Recall | <input type="checkbox"/> #19. Loss of records |
| <input type="checkbox"/> #7. Drive Thru / Pickup Request by Dispensary | <input type="checkbox"/> #20. Unauthorized dissemination of records |
| <input type="checkbox"/> #8. Transport Vehicle Accident or Malfunction | <input type="checkbox"/> #21. Unauthorized alteration of records |
| <input type="checkbox"/> #9. Inventory Discrepancies | <input type="checkbox"/> #22. Act Creating Health/Safety Risk to Cardholders or the public |
| <input type="checkbox"/> #10. Product Diversion | <input type="checkbox"/> #23. Dispensary Declines Sale to Cardholder |
| <input type="checkbox"/> #11. Product Theft | <input type="checkbox"/> #24. Dispensary Prohibits Cardholder from premises |
| <input type="checkbox"/> #12. Product Loss | <input type="checkbox"/> #25. Other |
| <input type="checkbox"/> #13. Unauthorized Destruction | |



Description of Notifiable Event (attach additional sheets if necessary):

Notifiable Events Appendix A:

Notifiable Events

- #1. Mechanical malfunction of security alarm or surveillance system that will exceed 8 hours: 915 KAR 1:030, Section 7 (2)(d); 1:040, Section 7 (3)(d); 1:060, Section 11 (2)(d); 1:070, Section 11 (2)(d)
- #2. Change to facilities: 915 KAR 1:020 Section 5 (2)(a)(1), (2), (3)
- #3. Failure to meet operational timeline: 915 KAR 1:020 Section 6 (1), (2)
- #4. Any initiated investigation or proceeding by any governmental or administrative agency other than the Office of Medical Cannabis: 915 KAR 1:010, Section 5 (6)(a)
- #5. Security Alarm Activation: 915 KAR 1:020 Section 5 (2)(b)(5)
- #6. Product Recall: 915 KAR 1:020 Section 5 (2)(b)(8)
- #7. Drive Thru / Pickup Request by Dispensary: 915 KAR 1:070 Section 5 (3)(a)(b)
- #8. Transport Vehicle Accident or Malfunction: 915 KAR 1:080 Section 2(3)(e), 915 KAR 1:020 Section (2)(b)(7)
- #9. Inventory Discrepancies: 915 KAR 1:020 Section 5 (2)(b)(1)
- #10. Product Diversion: 915 KAR: 1:020 Section 5 (2)(b)(2)
- #11. Product Theft: 915 KAR: 1:020 Section 5 2(b)(2)
- #12. Product Loss: 915 KAR: 1:020 Section 5 2(b)(2)
- #13. Unauthorized Destruction: 915 KAR 1:020 Section 5 (b)(3)
- #14. Cannabis Business Closure: 915 KAR 1:020 Section 7 (1), (2), (3)(a)(b)(c)(d)
- #15. Request to open a window in the state's designated seed to sale tracking system: 915 KAR 1:020, Section 4 (3) and (4)
- #16. Any enforcement or compliance action taken by local, state, or federal agencies other than the Office of Medical Cannabis: 915 KAR 1:010, Section 5 (6)(a)
- #17. Criminal Proceedings: 915 KAR 1:020 Section 5 (2)(b)(4)
- #18. Any Event That Requires LEO Response: 915 KAR 1:020 Section 5 (2)(b)(5)
- #19. Loss of records: 915 KAR 1:020 Section 5 (2)(b)(6)
- #20. Unauthorized dissemination of records: 915 KAR 1:020 Section 5 (2)(b)(6)
- #21. Unauthorized alternation of records: 915 KAR 1:020 Section 5 (2)(b)(6)
- #22. Act Creating Health/Safety Risk to Patients / Public: 915 KAR 1:020 Section 5 (2)(b)(8)
- #23. Dispensary Declines Sale to Cardholder: 915 KAR 1:020 Section 5 (2)(b)(9)
- #24. Dispensary Prohibits Cardholder from Premises: 915 KAR 1:020 Section 5 (2)(b)(10)
- #25. Other: 915 KAR Chapters 1 and 2