



Notifiable Events Form

Required by 915 KAR 1:020, Section 5(2): Use this checklist when a notifiable event has occurred and is required to be reported to the Cabinet for Health and Family Services via kymedcanreporting@ky.gov.

A report must be filled out by each employee, agent, or volunteer involved in the event.

Date:	Licensee Name and License #:	Licensee Location:
Name of Employee Reporting:	Badge #:	Email and Phone Number:
Date of Event:	Time of Event:	Location of Event:
Name of Involved Employees:	Badge #(s):	Contact Information:
Name of Registered Qualified Patient(s), Designated Caregiver(s), Visiting Qualified Patients(s) involved:	Patients(s)/Caregiver(s) Card #:	Contact Information:

Name and contact information of other witnesses involved (if applicable):

Signature:

NOTIFIABLE EVENTS

Please check all notifiable events that apply and provide a description in the box provided below.

- | | |
|------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| <input type="checkbox"/> #1. Change to initial or renewal application | <input type="checkbox"/> #14. Cannabis Business Closure |
| <input type="checkbox"/> #2. Change to facilities | <input type="checkbox"/> #15. Request for Change in Ownership |
| <input type="checkbox"/> #3. Failure to meet operational timeline | <input type="checkbox"/> #16. Request to Change Location |
| <input type="checkbox"/> #4. Request to Sell License | <input type="checkbox"/> #17. Criminal Proceedings |
| <input type="checkbox"/> #5. Security Alarm Activation | <input type="checkbox"/> #18. Any Event That Requires LEO Response |
| <input type="checkbox"/> #6. Product Recall | <input type="checkbox"/> #19. Loss of records |
| <input type="checkbox"/> #7. Drive Thru / Pickup Request by Dispensary | <input type="checkbox"/> #20. Unauthorized dissemination of records |
| <input type="checkbox"/> #8. Transport Vehicle Accident or Malfunction | <input type="checkbox"/> #21. Unauthorized alteration of records |
| <input type="checkbox"/> #9. Inventory Discrepancies | <input type="checkbox"/> #22. Act Creating Health/Safety Risk |
| <input type="checkbox"/> #10. Product Diversion | <input type="checkbox"/> #23. Dispensary Declines Sale to Cardholder |
| <input type="checkbox"/> #11. Product Theft | <input type="checkbox"/> #24. Dispensary Prohibits Cardholder from premises |
| <input type="checkbox"/> #12. Product Loss | |
| <input type="checkbox"/> #13. Unauthorized Destruction | |

CULTIVATOR RENEWAL SUBMISSION

- | | |
|-----------------------------------------------------------|-------------------------------------------------------|
| <input type="checkbox"/> #25. Number of Current Employees | <input type="checkbox"/> #28. Employee Job Titles |
| <input type="checkbox"/> #26. Employee Hourly Wages | <input type="checkbox"/> #29. Raw Plant Material Sold |
| <input type="checkbox"/> #27. Canopy Space Utilized | |

DISPENSARY RENEWAL SUBMISSION

- | | |
|-------------------------------------------------------------------------------|-------------------------------------------------------------------|
| <input type="checkbox"/> #30. Number of Current Employees | <input type="checkbox"/> #33. Employee Job Titles |
| <input type="checkbox"/> #31. Employee Hourly Wages | <input type="checkbox"/> #34. Cannabis Forms Sold & Average Price |
| <input type="checkbox"/> #32. List of Businesses That Have Their Product Sold | <input type="checkbox"/> #35. Supply and Demand Issues |

PROCESSOR RENEWAL SUBMISSION

- | | |
|-----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| <input type="checkbox"/> #36. Supply & Demand Issues | <input type="checkbox"/> #39. Amount of Raw Plant Material Processed During Licensure |
| <input type="checkbox"/> #37. Average Price Paid for Raw Plant Material Per Pound | <input type="checkbox"/> #40. Number of Current Employees |
| <input type="checkbox"/> #38. Employee Job Titles | <input type="checkbox"/> #41. Employee Hourly Wages |

SAFETY COMPLIANCE FACILITY RENEWAL SUBMISSION

- | | |
|---------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| <input type="checkbox"/> #42. List of Cannabis Businesses Contracted with for Testing | <input type="checkbox"/> #46. Issues with testing in timely manner |
| <input type="checkbox"/> #43. List of Non-Testing Activities | <input type="checkbox"/> #47. Compensation Received for Non-Testing Activities |
| <input type="checkbox"/> #44. Customer List for Non-Testing Activities | <input type="checkbox"/> #48. Number of Current Employees |
| <input type="checkbox"/> #45. Employee Job Titles | <input type="checkbox"/> #49. Employee Hourly Wages |



Description of Notifiable Event (attach additional sheets if necessary):



Notifiable Events Appendix A:

Notifiable Events

- #1. Change to initial or renewal application: 915 KAR 1:020 Section 5(2)(a)
- #2. Change to facilities: 915 KAR 1:020 Section 5 (2)(a)(1), (2), (3)
- #3. Failure to meet operational timeline: 915 KAR 1:020 Section 6 (1), (2)
- #4. Request to Sell License: 915 KAR 1:020 Section 10 (1) and (2)(a),(b),(c),(d)
- #5. Security Alarm Activation: 915 KAR 1:020 Section 5 (2)(b)(5)
- #6. Product Recall: 915 KAR 1:020 Section 5 (2)(b)(8)
- #7. Drive Thru / Pickup Request by Dispensary: 915 KAR 1:070 Section 5 (3)(a)(b)
- #8. Transport Vehicle Accident or Malfunction: 915 KAR 1:080 Section 2(3)(e), 915 KAR 1:020 Section (2)(b)(7)
- #9. Inventory Discrepancies: 915 KAR 1:020 Section 5 (2)(b)(1)
- #10. Product Diversion: 915 KAR: 1:020 Section 5 (2)(b)(2)
- #11. Product Theft: 915 KAR: 1:020 Section 5 2(b)(2)
- #12. Product Loss: 915 KAR: 1:020 Section 5 2(b)(2)
- #13. Unauthorized Destruction: 915 KAR 1:020 Section 5 (b)(3)
- #14. Cannabis Business Closure: 915 KAR 1:020 Section 7 (1), (2), (3)(a)(b)(c)(d)
- #15. Request for Change in Ownership: 915 KAR 1:020 Section 8 (1), (2)
- #16. Request to Change Location: 915 KAR 1:020 Section 9 (1), (2)(a)(b)(c)(d), (4)(c)
- #17. Criminal Proceedings: 915 KAR 1:020 Section 5 (2)(b)(4)
- #18. Any Event That Requires LEO Response: 915 KAR 1:020 Section 5 (2)(b)(5)
- #19. Loss of records: 915 KAR 1:020 Section 5 (2)(b)(6)
- #20. Unauthorized dissemination of records: 915 KAR 1:020 Section 5 (2)(b)(6)
- #21. Unauthorized alternation of records: 915 KAR 1:020 Section 5 (2)(b)(6)
- #22. Act Creating Health/Safety Risk to Patients / Public: 915 KAR 1:020 Section 5 (2)(b)(8)
- #23. Dispensary Declines Sale to Cardholder: 915 KAR 1:020 Section 5 (2)(b)(9)
- #24. Dispensary Prohibits Cardholder from Premises: 915 KAR 1:020 Section 5 (2)(b)(10)

Cultivator Renewal Submission

- #25. Number of Current Employees: 915 KAR 1:030 Section 17 (1)(c)
- #26. Employee Hourly Wages: 915 KAR 1:030 Section 17 (1)(c)
- #27. Canopy Space Utilized: 915 KAR 1:030 Section 17 (1)(a)
- #28. Employee Job Titles: 915 KAR 1:030 Section 17 (1)(c)
- #29. Raw Plant Material Sold: 915 KAR 1:030 Section 17 (1)(b)

Dispensary Renewal Submission

- #30. Number of Current Employees: 915 KAR 1:070 Section 17 (1)(d)
- #31. Employee Hourly Wages: 915 KAR 1:070 Section 17 (1)(d)
- #32. List of Businesses That Have Their Product Sold: 915 KAR 1:070 Section 17 (1)(a)
- #33. Employee Job Titles: 915 KAR 1:070 Section 17 (1)(d)
- #34. Cannabis Forms Sold & Average Price: 915 KAR 1:070 Section 17 (1)(b)
- #35. Supply and Demand Issues: 915 KAR 1:070 Section 17 (1)(c)

Processor Renewal Submission

- #36. Supply & Demand Issues: 915 KAR 1:040 Section 15 (1)(a)
- #37. Average Price Paid for Raw Plant Material Per Pound: 915 KAR 1:040 Section 15 (1)(b)
- #38. Employee Job Titles: 915 KAR 1:040 Section 15 (1)(c)
- #39. Amount of Raw Plant Material Processed During Licensure: 915 KAR 1:040 Section 15 (1)(b)
- #40. Number of Current Employees: 915 KAR 1:040 Section 15 (1)(c)
- #41. Employee Hourly Wages: 915 KAR 1:040 Section 15 (1)(c)

Safety Compliance Facility Renewal Submission

- #42. List of Cannabis Businesses Contracted with for Testing: 915 KAR 1.060 Section 17 (1)(a)
- #43. List of Non-Testing Activities: 915 KAR 1.060 Section 17 (1)(b)
- #44. Customer List for Non-Testing Activities: 915 KAR 1.060 Section 17 (1)(b)
- #45. Employee Job Titles: 915 KAR 1.060 Section 17 (1)(d)
- #46. Issues with testing in timely manner: 915 KAR 1.060 Section 17 (1)(c)
- #47. Compensation Received for Non-Testing Activities: 915 KAR 1.060 Section 17 (1)(b)
- #48. Number of Current Employees: 915 KAR 1.060 Section 17 (1)(d)
- #49. Employee Hourly Wages: 915 KAR 1.060 Section 17 (1)(d)