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| Compliance Notifiable Event Formfor Cannabis Businesses Required by 915 KAR 1:020, Section 5(2):Use this form or the online equivalent located in the business licensing portal when a compliance related notifiable event has occurred involving your licensed cannabis business and is required to be reported to the Office of Medical Cannabis. If using this form instead of the online version located in the business licensing portal, **email this filled out form to kymedcanreporting@ky.gov**.  A report must be filled out by each employee, agent, or volunteer involved in the event. **Please note that separate and distinct forms are required for Requests to Change Address, Ownership, or Sell a License**. Those forms can be found under the “Forms” tab on the website for the Kentucky Office of Medical Cannabis, kymedcan.ky.gov. |

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| Date: | Licensee Name and License #: | Licensee Location: |
| Name of Employee Reporting: | Badge #: | Email and Phone Number: |
| Date of Event: | Time of Event: | Location of Event: |
| Name of Involved Employees: | Badge #(s): | Contact Information: |
| Name of Registered Qualified Patient(s), Designated Caregiver(s), Visiting Qualified Patients(s) involved: | Patients(s)/Caregiver(s) Card #: | Contact Information: |

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| Name and contact information of other witnesses involved (if applicable): |

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| Signature: |

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| COMPLIANCE Notifiable EVENT | | | | |
| **Please check all notifiable events that apply and provide a description in the box provided below.** | | | | |
|  | #1. Mechanical malfunction of the security alarm or surveillance system that will exceed 8 hours |  |  | #14. Cannabis Business Closure |
|  | #2. Change to facilities |  |  | #15. Request to open a window in the state’s designated seed to sale tracking system |
|  | #3. Failure to meet operational timeline |  |  | #16. Any enforcement or compliance action taken by local, state, or federal agencies other than the Office of Medical Cannabis |
|  | #4 Any initiated investigation or proceeding by any governmental or administrative agency other than the Office of Medical Cannabis |  |  | #17. Criminal Proceedings arising out of actions taken on premises or using licensee property |
|  | #5. Security Alarm Activation |  |  | #18. Any Event That Requires LEO Response |
|  | #6. Product Recall |  |  | #19. Loss of records |
|  | #7. Drive Thru / Pickup Request by Dispensary |  |  | #20. Unauthorized dissemination of records |
|  | #8. Transport Vehicle Accident or Malfunction |  |  | #21. Unauthorized alteration of records |
|  | #9. Inventory Discrepancies |  |  | #22. Act Creating Health/Safety Risk to Cardholders or the public |
|  | #10. Product Diversion |  |  | #23. Dispensary Declines Sale to Cardholder |
|  | #11. Product Theft |  |  | #24. Dispensary Prohibits Cardholder from premises |
|  | #12. Product Loss |  |  | #25. Other |
|  | #13. Unauthorized Destruction |  |  |  |

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| Description of Notifiable Event (attach additional sheets if necessary): |

**Notifiable Events Appendix A:**

Notifiable Events

#1. Mechanical malfunction of security alarm or surveillance system that will exceed 8 hours: 915 KAR 1:030, Section 7 (2)(d); 1:040, Section 7 (3)(d); 1:060, Section 11 (2)(d); 1:070, Section 11 (2)(d)

#2. Change to facilities: 915 KAR 1:020 Section 5 (2)(a)(1), (2), (3)

#3. Failure to meet operational timeline: 915 KAR 1:020 Section 6 (1), (2)

#4. Any initiated investigation or proceeding by any governmental or administrative agency other than the Office of Medical Cannabis: 915 KAR 1:010, Section 5 (6)(a)

#5. Security Alarm Activation: 915 KAR 1:020 Section 5 (2)(b)(5)

#6. Product Recall: 915 KAR 1:020 Section 5 (2)(b)(8)

#7. Drive Thru / Pickup Request by Dispensary: 915 KAR 1:070 Section 5 (3)(a)(b)

#8. Transport Vehicle Accident or Malfunction: 915 KAR 1:080 Section 2(3)(e), 915 KAR 1:020 Section (2)(b)(7)

#9. Inventory Discrepancies: 915 KAR 1:020 Section 5 (2)(b)(1)

#10. Product Diversion: 915 KAR: 1:020 Section 5 (2)(b)(2)

#11. Product Theft: 915 KAR: 1:020 Section 5 2(b)(2)

#12. Product Loss: 915 KAR: 1:020 Section 5 2(b)(2)

#13. Unauthorized Destruction: 915 KAR 1:020 Section 5 (b)(3)

#14. Cannabis Business Closure: 915 KAR 1:020 Section 7 (1), (2), (3)(a)(b)(c)(d)

#15. Request to open a window in the state’s designated seed to sale tracking system: 915 KAR 1:020, Section 4 (3) and (4)

#16. Any enforcement or compliance action taken by local, state, or federal agencies other than the Office of Medical Cannabis: 915 KAR 1:010, Section 5 (6)(a)

#17. Criminal Proceedings: 915 KAR 1:020 Section 5 (2)(b)(4)

#18. Any Event That Requires LEO Response: 915 KAR 1:020 Section 5 (2)(b)(5)

#19. Loss of records: 915 KAR 1:020 Section 5 (2)(b)(6)

#20. Unauthorized dissemination of records: 915 KAR 1:020 Section 5 (2)(b)(6)

#21. Unauthorized alternation of records: 915 KAR 1:020 Section 5 (2)(b)(6)

#22. Act Creating Health/Safety Risk to Patients / Public: 915 KAR 1:020 Section 5 (2)(b)(8)

#23. Dispensary Declines Sale to Cardholder: 915 KAR 1:020 Section 5 (2)(b)(9)

#24. Dispensary Prohibits Cardholder from Premises: 915 KAR 1:020 Section 5 (2)(b)(10)

#25. Other: 915 KAR Chapters 1 and 2