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| Compliance Notifiable Event Formfor Cannabis Businesses Required by 915 KAR 1:020, Section 5(2):Use this form or the online equivalent located at kymedcan.ky.gov when a compliance related notifiable event has occurred at your licensed cannabis business and is required to be reported to the Cabinet for Health and Family Services. **Submit this information through the online portal or email this filled out form to kymedcanreporting@ky.gov**.  A report must be filled out by each employee, agent, or volunteer involved in the event. **Please note that separate and distinct forms are required for Requests to Change Address, Ownership, or Sell a License**. Those forms can be found under the “Forms” tab on the website for the Kentucky Office of Medical Cannabis, kymedcan.ky.gov. |

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| Date: | Licensee Name and License #: | Licensee Location: |
| Name of Employee Reporting: | Badge #: | Email and Phone Number: |
| Date of Event: | Time of Event: | Location of Event: |
| Name of Involved Employees: | Badge #(s): | Contact Information: |
| Name of Registered Qualified Patient(s), Designated Caregiver(s), Visiting Qualified Patients(s) involved: | Patients(s)/Caregiver(s) Card #: | Contact Information: |

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| Name and contact information of other witnesses involved (if applicable): |

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| Signature: |

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| COMPLIANCE Notifiable EVENT | | | | |
| **Please check all notifiable events that apply and provide a description in the box provided below.** | | | | |
|  | #1. Mechanical malfunction of the security alarm or surveillance system that will exceed 8 hours |  |  | #14. Cannabis Business Closure |
|  | #2. Change to facilities |  |  | #15. Request to open a window in the state’s designated seed to sale tracking system |
|  | #3. Failure to meet operational timeline |  |  | #16. Any enforcement or compliance action taken by local, state, or federal agencies other than the Office of Medical Cannabis |
|  | #4 Any initiated investigation or proceeding by any governmental or administrative agency other than the Office of Medical Cannabis |  |  | #17. Criminal Proceedings arising out of actions taken on premises or using licensee property |
|  | #5. Security Alarm Activation |  |  | #18. Any Event That Requires LEO Response |
|  | #6. Product Recall |  |  | #19. Loss of records |
|  | #7. Drive Thru / Pickup Request by Dispensary |  |  | #20. Unauthorized dissemination of records |
|  | #8. Transport Vehicle Accident or Malfunction |  |  | #21. Unauthorized alteration of records |
|  | #9. Inventory Discrepancies |  |  | #22. Act Creating Health/Safety Risk to Cardholders or the public |
|  | #10. Product Diversion |  |  | #23. Dispensary Declines Sale to Cardholder |
|  | #11. Product Theft |  |  | #24. Dispensary Prohibits Cardholder from premises |
|  | #12. Product Loss |  |  | #25. Other |
|  | #13. Unauthorized Destruction |  |  |  |

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| Description of Notifiable Event (attach additional sheets if necessary): |

**Notifiable Events Appendix A:**

Notifiable Events

#1. Mechanical malfunction of security alarm or surveillance system that will exceed 8 hours: 915 KAR 1:030, Section 7 (2)(d); 1:040, Section 7 (3)(d); 1:060, Section 11 (2)(d); 1:070, Section 11 (2)(d)

#2. Change to facilities: 915 KAR 1:020 Section 5 (2)(a)(1), (2), (3)

#3. Failure to meet operational timeline: 915 KAR 1:020 Section 6 (1), (2)

#4. Any initiated investigation or proceeding by any governmental or administrative agency other than the Office of Medical Cannabis: 915 KAR 1:010, Section 5 (6)(a)

#5. Security Alarm Activation: 915 KAR 1:020 Section 5 (2)(b)(5)

#6. Product Recall: 915 KAR 1:020 Section 5 (2)(b)(8)

#7. Drive Thru / Pickup Request by Dispensary: 915 KAR 1:070 Section 5 (3)(a)(b)

#8. Transport Vehicle Accident or Malfunction: 915 KAR 1:080 Section 2(3)(e), 915 KAR 1:020 Section (2)(b)(7)

#9. Inventory Discrepancies: 915 KAR 1:020 Section 5 (2)(b)(1)

#10. Product Diversion: 915 KAR: 1:020 Section 5 (2)(b)(2)

#11. Product Theft: 915 KAR: 1:020 Section 5 2(b)(2)

#12. Product Loss: 915 KAR: 1:020 Section 5 2(b)(2)

#13. Unauthorized Destruction: 915 KAR 1:020 Section 5 (b)(3)

#14. Cannabis Business Closure: 915 KAR 1:020 Section 7 (1), (2), (3)(a)(b)(c)(d)

#15. Request to open a window in the state’s designated seed to sale tracking system: 915 KAR 1:020, Section 4 (3) and (4)

#16. Any enforcement or compliance action taken by local, state, or federal agencies other than the Office of Medical Cannabis: 915 KAR 1:010, Section 5 (6)(a)

#17. Criminal Proceedings: 915 KAR 1:020 Section 5 (2)(b)(4)

#18. Any Event That Requires LEO Response: 915 KAR 1:020 Section 5 (2)(b)(5)

#19. Loss of records: 915 KAR 1:020 Section 5 (2)(b)(6)

#20. Unauthorized dissemination of records: 915 KAR 1:020 Section 5 (2)(b)(6)

#21. Unauthorized alternation of records: 915 KAR 1:020 Section 5 (2)(b)(6)

#22. Act Creating Health/Safety Risk to Patients / Public: 915 KAR 1:020 Section 5 (2)(b)(8)

#23. Dispensary Declines Sale to Cardholder: 915 KAR 1:020 Section 5 (2)(b)(9)

#24. Dispensary Prohibits Cardholder from Premises: 915 KAR 1:020 Section 5 (2)(b)(10)

#25. Other: 915 KAR Chapters 1 and 2